NIH NOT-OD-021-73: CHANGES TO THE BIOGRAPHICAL SKETCH AND OTHER SUPPORT FORMAT PAGE

Presenters:

Erika Wilson, Senior Director
Health Sciences Sponsor Project Pre-Award Office

Rachel Cook, Senior Grant Analyst, Supervisor
Health Sciences Sponsored Project Pre-Award Office
UC San Diego
RESEARCH COMPLIANCE AND INTEGRITY

- Conflict of Interest (COI)
- Dual Use Research of Concern (DURC)
- Export Control and Facility Security
- Institutional Animal Care and Use Committee (IACUC)
- Research Ethics and Integrity (Research Misconduct)
- ClinicalTrials.Gov, NIH Good Clinical Practices (GCP) and Responsible Conduct of Research (RCR) Compliance
- General Research Compliance Activities

Website: RCI.UCSD.EDU
Helpline: (858) 822-4939
Email: rci@ucsd.edu
Effective January 25, 2022, the National Institutes of Health (NIH) will update its application forms and instructions to support the need for applicants and recipients to provide full transparency and disclosure of all research activities, foreign and domestic. This Notice outlines the following key changes:

- Biosketch and Other Support
- Other Support Certification
- Supporting Documentation
- Immediate Notification of Undisclosed Other Support

Nothing has Changed, Just NIH’s Approach

Nothing has changed, just NIH’s approach where they are clarifying policies and updating forms and instructions, including SciENcv.

➢ NIH will now provide details on in-kind contributions, defined “gifts”, and outlined the purpose of the Biographical Sketch.

➢ NIH has updated application forms and instructions for Biographical Sketches and Other Support.

Grants Policy Statement (GPS) updates are still pending publication. Once published, there will be a new subsection GPS 2.3 created to consolidate the requirements for easier reference that will include: who submits, when it is submitted, and how it is used by reviewers.
Reminder of Why: Openness & Transparency

Commitment transparency is transparency and reporting of ALL research activities, both domestic and foreign

➢ Openness and transparency enables productive collaboration and helps ensure appropriate disclosure of potential Conflict of Interest (COI) and Conflict of Commitment (COC).

➢ Failure to disclose substantial contributions of resources from other organizations, including foreign governments, threatens to distort decisions about the appropriate use of NIH funds.
Biosketch Format Changes
New Non-Fellowship Biosketch Format Pages

**Non-Fellowship Biosketches Changes**

**Section A ‘Personnel Statement’** may now include details on ongoing and completed research projects from the past three years that they want to draw attention to, along with still highlighting up to four publications.

**Section B ‘Positions, Scientific Appointments, and Honors’** renamed from ‘Positions and Honors.’
- List only **current** positions and scientific appointments.
- All “Positions, Scientific Appointments, and Honors” should be listed in **reverse chronological order**.

**Section D ‘Research Support’** has been removed.
BIORGRAPHICAL SKETCH

Provide the following information for the Seniority personnel and other significant contributors. Follow this format for each person. DO NOT EXCEED THE PAGE LIMIT.

NAME: Hunt, Morgan Casey

ORCID: 0000-0001-0205-0002

Position Title: Associate Professor of Psychology

Education/Training: (Begin with bachelor/ or other initial professional education, such as nursing, include postdoctoral training and residency training if applicable. Affiliation rows are necessary.)

Institution And Location
Degree (Where applicable) Completion Date Field of Study
University of California, Berkeley
BS, M.A. 2003, 2005
Psychology
University of California, Berkeley
PhD, 2013

A. Personal Statement
I am an Associate Professor of Psychology, and my research is focused on neuropsychological changes associated with addiction. I have a broad background in psychology, with specific training in experimental and affective neuroscience. My research involves the use of positron emission tomography (PET) and functional magnetic resonance imaging (fMRI) to understand how addiction affects the brain. My current research focuses on understanding the neural mechanisms underlying addiction and developing interventions to treat addiction.

B. Past Positions
Past positions do not need to be listed.

C. Key Personnel
My research is supported by a team of dedicated research coordinators, technicians, and graduate students. Each member of the team plays a critical role in the success of our research. I am grateful for their hard work and commitment to our shared goals.

D. Citations

E. Positions, Scientific Appointments, and Honors

Citations:
Non-Fellowship Biographical Sketch Example

C. Contributions to Sciences Reminder: up to five contributions are allowed, with a max of four publications/products each. The Biosketch will be non-compliant if more.

D. ‘Research Support’ has been removed. Section D is solely present on the fellowship version of the Biosketch, and no longer includes research support due to duplication of effort with Other Support & to harmonize format with NSF.
New Fellowship Biosketch Format Pages

Fellowship Biosketches Changes

Section A ‘Personnel Statement’ may now include details on ongoing and completed research projects from the past three years that they want to draw attention to, along with still highlighting up to four publications.

Section B ‘Positions, Scientific Appointments, and Honors’ renamed from ‘Positions and Honors.’
• List only current positions and scientific appointments.
• All “Positions, Scientific Appointments, and Honors” should be listed in reverse chronological order.

Section D ‘Scholastic Performance’ has been updated to remove ‘Research Support.’

Updated Section B to capture ALL scientific positions and appointments, other foreign and domestic as well as both paid and not-paid.
# Fellowship Biographical Sketch Example

**BIOSKETCH**

Provide basic training information for the biosketch, but avoid any significant contributions that do not fit into one of the following sections. DO NOT EXCEED FIVE PAGES.

<table>
<thead>
<tr>
<th>NAME: Simmons-Gonzalez, Lailani</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>EDUCATION/TRAINING (Begin with highest degree or other initial professional scholarship, such as nursing, mouse post-doctoral training and residency training if applicable, and associate your role as necessary)</strong></td>
</tr>
<tr>
<td><strong>INSTITUTION AND LOCATION</strong></td>
</tr>
<tr>
<td>Purdue University</td>
</tr>
<tr>
<td>UC San Diego</td>
</tr>
</tbody>
</table>

**A. Personal Statement**

I first became interested in human health and disease in high school when I was awarded an NIH Diversity Supplement to work as a research assistant for the first time. My exposure to Dr. Randall Lonsdorf, University of Chicago, who conducted research on Dr. Daniel Richardson's mechanisms for action of a new class of small molecules for cancer treatment, resulted in a co-authorship publication. As well as an invitation to present a poster at the annual Glioma meeting in Denver, Colorado. By the end of my undergraduate career, I knew that I wanted to pursue a long-term career in research. For my graduate training at UC San Diego, I have moved into the fields of genetics and biochemistry by studying the signaling and mobility mechanisms of cancer cells. Under the mentorship of Dr. Neri Green, Dr. Green is an internationally recognized leader in the field of cancer genetics and has extensive expertise in training predoctoral and postdoctoral fellows. Among all providing new conceptual and technical training, the proposed training plan outlines a comprehensive set of career development, activities and workshops. If I have opportunities to engage in public speaking, conduct literature analysis, consider biomedical ethics, and learn about various career options. For my initial project, I am currently developing a novel protocol for the identification of transcription complexes involved in cancer signaling pathways, which I hope to submit as a first author publication in the next few months. As a native Hawaiian, I am the first in my family to graduate from college, and I am excited to continue exploring great strides with my education. Overall, I believe that my current research setting in conjunction with my proposed training plan will provide a solid foundation for my long-term goal to become an academic researcher.

**B. Positions, Scientific Appointments, and Honors**

<table>
<thead>
<tr>
<th>Positions and Scientific Appointments</th>
<th>YEAR</th>
<th>COURSE TITLE</th>
<th>GRADE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Research Fellowship for Outstanding Graduate Students, Genetics Department, UC San Diego</td>
<td>2014 – 2016</td>
<td>Introductory Biology</td>
<td>A</td>
</tr>
<tr>
<td>Graduate Research Assistant, UC San Diego</td>
<td>2010 – 2012</td>
<td>Introductory Biology Lab</td>
<td>A</td>
</tr>
<tr>
<td>Lab Rotations, University of Washington</td>
<td>2010 – 2012</td>
<td>Foundation of Chemical Principles</td>
<td>A</td>
</tr>
<tr>
<td>Member, Association for Women in Science</td>
<td>2014 – 2015</td>
<td>Foundation of Cell and Developmental Biology</td>
<td>A</td>
</tr>
</tbody>
</table>

**C. Contributions to Science**

High School Research: I spent two summers doing research in the lab of Dr. Indra Lonsdorf at Purdue University, where I conducted research with Dr. Daniel Richardson's mechanisms for action of a new class of small molecules for cancer treatment. This resulted in a co-authorship publication, as well as an invitation to present a poster at the annual Glioma meeting in Denver, Colorado. Under the mentorship of Dr. Neri Green, Dr. Green is an internationally recognized leader in the field of cancer genetics and has extensive expertise in training predoctoral and postdoctoral fellows. Among all providing new conceptual and technical training, the proposed training plan outlines a comprehensive set of career development, activities and workshops. If I have opportunities to engage in public speaking, conduct literature analysis, consider biomedical ethics, and learn about various career options. For my initial project, I am currently developing a novel protocol for the identification of transcription complexes involved in cancer signaling pathways, which I hope to submit as a first author publication in the next few months. As a native Hawaiian, I am the first in my family to graduate from college, and I am excited to continue exploring great strides with my education. Overall, I believe that my current research setting in conjunction with my proposed training plan will provide a solid foundation for my long-term goal to become an academic researcher.

**D. Scholastic Performance**

<table>
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<th>COURSE TITLE</th>
<th>GRADE</th>
</tr>
</thead>
<tbody>
<tr>
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<td>Introductory Biology</td>
<td>A</td>
</tr>
<tr>
<td>2014</td>
<td>Introductory Biology Lab</td>
<td>A</td>
</tr>
<tr>
<td>2014</td>
<td>Foundation of Chemical Principles</td>
<td>A</td>
</tr>
<tr>
<td>2014</td>
<td>Foundation of Cell and Developmental Biology</td>
<td>A</td>
</tr>
</tbody>
</table>

**B. List**

- List in reverse chronological order all positions and scientific appointments both domestic and foreign, including affiliations with foreign entities or governments. This includes titled academic, professional, or institutional appointees as well as non-renewable appointments. You may exclude full-time, part-time, or voluntary (including adjunct, visiting, or honorary). Past positions do not need to be listed.

**C. Contributions to Sciences**

Reminder: up to five contributions are allowed, with a max of four publications each. The Biobetical will be non-compliant if more.


**D. Scholastic Performance**

Updated to remove "Research Support" has been removed. Section D is solely present on the fellowship version of the Biobetical, and no longer includes research support.
Other Support Form Changes
Reminder: Why is Other Support Reviewed?

NIH scientific program and grants management staff review Other Support information to ensure that:

➢ All resources, domestic or foreign, directly supporting the individual’s research endeavors have been reported
➢ Sufficient levels of effort are committed to the project
➢ There is no scientific, budgetary, or commitment overlap
➢ Only funds necessary to the approved project are included in the award (example is subawards or multi-project awards)
➢ Any foreign resources that meet the definition of a foreign component have received appropriate prior approval
Other Support Format Page Changes

There is now **only one Other Support Format Page** for all types of submissions to NIH.

The format page has been re-organized to separate pending and funded projects from in-kind contributions. You do not list completed projects.
In-kind Resources vs Gifts in Updates Forms

Expectations for Reporting In-Kind Resources

➢ In-kind contributions, e.g. office/laboratory space, equipment, supplies, employees, students.

➢ If the time commitment or dollar value of the in-kind contribution is not readily ascertainable, the recipient must provide reasonable estimates.

Definition of Gift

Gifts are resources provided where there is no expectation of anything (e.g. time, services, specific research activities, money, etc.) in return. Gifts are not reported to NIH in Other Support.
**Other Support Format Page & New Changes**

*This specific form is mandatory to use as it provides information on ALL domestic & foreign active and pending funded & unfunded support for personnel on NIH funded projects as well as in-kind contributions.*

**Note for Subs or Multi-Project Awards:** Indicate the project number, Name of PD/PI, and source of Support for the overall project. Provide all other information (e.g. total award amount, person months) for the subproject only.

---

### Other Support — Project/Proposal

- **Name of Individual:**
- **Commons ID:**
- **Title:**
- **Major Goals:**
- **Status of Support:**
- **Project Number:**
- **Name of PD/PI:**
- **Source of Support:**

### In-Kind

- **Summary of In-Kind Contribution:**
- **Primary Place of Performance:**
  - Project/Proposal Start and End Date (MM/YYYY) (if available):
  - Person Months (Calendar/Academic/Summer) per budget period

<table>
<thead>
<tr>
<th>Year (YYYY)</th>
<th>Person Months (MM)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 (enter year 1)</td>
<td></td>
</tr>
<tr>
<td>2 (enter year 2)</td>
<td></td>
</tr>
<tr>
<td>3 (enter year 3)</td>
<td></td>
</tr>
<tr>
<td>4 (enter year 4)</td>
<td></td>
</tr>
<tr>
<td>5 (enter year 5)</td>
<td></td>
</tr>
</tbody>
</table>

- **Estimated Dollar Value of In-Kind Information:**

- **Overlap (summarized for each individual):**

I, PD/PI or other senior/key personnel, certify that the statements herein are true, complete and accurate to the best of my knowledge, and accept the obligation to comply with Public Health Services terms and conditions if a grant is awarded as a result of this application. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties.

**Signature:** __________________________________________

**Date:** __________________________
**For New and Renewal Applications – DO NOT SUBMIT UNLESS REQUESTED**

**PHS 398 OTHER SUPPORT**

There is no “form page” for reporting Other Support. Information on Other Support should be provided in the format shown below.

### ACTIVE

**Title:** Chloride and Sodium Transport in Airway Epithelial Cells

Major Goals: The major goals of this project are to define the biochemistry of chloride and sodium transport in airway epithelial cells and clone the gene(s) involved in transport.

**Status of Support: Active**

Project Number: 2 R01 HL 00000 - 13

Name of PI/DPI: Anderson, R.R.

Source of Support: NIH/NIH

**Primary Place of Performance:** University of California, Los Angeles

**Project/Proposal Start and End Date:** (MM/YYYY) (if available): 3/1/2021 - 2/28/2026

**Total Award Amount (including Indirect Costs):** $1,492,232

**Person Months (Calendar/Academic/Summer) per budget period:**

<table>
<thead>
<tr>
<th>Year (YYYY)</th>
<th>Person Months (#.#)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1, 2021</td>
<td>3.0 calendar</td>
</tr>
<tr>
<td>2, 2022</td>
<td>3.0 calendar</td>
</tr>
<tr>
<td>3, 2023</td>
<td>3.0 calendar</td>
</tr>
<tr>
<td>4, 2024</td>
<td>3.0 calendar</td>
</tr>
<tr>
<td>5, 2025</td>
<td>3.0 calendar</td>
</tr>
</tbody>
</table>

### PENDING

**Title:** Liposome Membrane Composition and Function

Major Goals: The major goals of this project are to define biochemical properties of liposome membrane components which maximize liposome uptake into cells.

**Status of Support: Pending**

Project Number: DGB6500009

Name of PI/DPI: Anderson, R.R.

**Source of Support:** National Science Foundation

**Primary Place of Performance:** University of California, Los Angeles

**Project/Proposal Start and End Date:** (MM/YYYY) (if available): 10/1/2021 - 9/30/2023

**Total Award Amount (including Indirect Costs):** $232,281

**Person Months (Calendar/Academic/Summer) per budget period:**

<table>
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<tr>
<th>Year (YYYY)</th>
<th>Person Months (#.#)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1, 2021</td>
<td>2.4 calendar</td>
</tr>
<tr>
<td>2, 2022</td>
<td>2.4 calendar</td>
</tr>
</tbody>
</table>
### Other Support Example

#### Example of a Paid Direct Post Doc in a research lab. This is considered In-Kind Support and must be listed on your Other Support.

<table>
<thead>
<tr>
<th>Name of Individual:</th>
<th>Commons ID:</th>
</tr>
</thead>
</table>

#### IN-KIND

- **Summary of In-Kind Contribution:** Post-doctoral fellow, Dr. John Smith, who conducts research activities in the Anderson lab. Salary supported by Oxford University.
  - **Status of Support:** Active
  - **Primary Place of Performance:** University of California, Los Angeles
  - **Project/Proposal Start and End Date (MM/YYYY) (if available):**
  - **Person Months (Calendar/Academic/Summer) per budget period:** N/A
  - **Estimated Dollar Value of In-Kind Information:** $90,000

- **Summary of In-Kind Contribution:** Cell line XYZ provided by Dr. Jennifer Smith at Cornell University.
  - **Status of Support:** Active
  - **Primary Place of Performance:** University of California, Los Angeles
  - **Project/Proposal Start and End Date (MM/YYYY) (if available):**
  - **Person Months (Calendar/Academic/Summer) per budget period:** N/A
  - **Estimated Dollar Value of In-Kind Information:** $1,000

- **Summary of In-Kind Contribution:** C57BL/6-Ael1<sup>tm1<sup>ste</sup> mice provided by Dr. Joseph Jones at the University of Texas at Austin.
  - **Status of Support:** Active
  - **Primary Place of Performance:** University of California, Los Angeles
  - **Project/Proposal Start and End Date (MM/YYYY) (if available):**
  - **Person Months (Calendar/Academic/Summer) per budget period:** N/A
  - **Estimated Dollar Value of In-Kind Information:** $4,000

#### Overlap (summarized for each individual)

There is scientific overlap between Aim 2 of NSF DGE 0820000 and Aim 4 of the application under consideration. If both are funded, the budgets will be adjusted appropriately in conjunction with agency staff.

#### Signature

<table>
<thead>
<tr>
<th>Signature:</th>
<th>Anderson, R.R.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Date:</td>
<td>March 25, 2021</td>
</tr>
</tbody>
</table>
Other Support Certification

NIH
Other Support & Electronic Certification

A signature block has been added, for Program Director/Principal Investigator as well as for all other Senior/Key Personnel to certify the accuracy of the information submitted. Each PD/PI or senior/key personnel must **electronically sign** their respective Other Support form as a PDF prior to submission.

A wet signature, then scanned will NOT be accepted by NIH.

Note, applicants and recipients must maintain supporting documentation to reasonably authenticate that the appropriate individual signed the form. Recipients must and make the documentation available upon request in accordance with [45 CFR Part 75.364](http://www.gpo.gov/).
Other Support Certification & e-Signature Example

Other Support submissions must be submitted as a flattened PDF, after all signatures are obtained (see Format Attachments for more details). Recipients and applicants may use the electronic signature software of their choice, and in alignment with their institutional practices. At UC San Diego, you can use electronic signatures found in Adobe Acrobat or DocuSign. A typed name is not an electronic signature and is not acceptable.
Other Support & Supporting Documentation

For Other Support submissions that include foreign activities and resources, recipients are required to submit copies of contracts, grants or any other agreement specific to senior/key personnel foreign appointments and/or employment with a foreign institution as supporting documentation.

➢ If they are not in English, your Central SPO will use Google Translate to translate these documents, then upload them along with the original documents into eRA Commons.

➢ This supporting documentation must be provided as part of the Other Support PDF following the Other Support Format page when submitting JIT.
Internal Timeline for Supporting Documentation

At UC San Diego, we are allowing a maximum of 15 days* to obtain, translate, review, and submit the foreign supporting documentation to NIH, via eRA Commons.

*When close to NIH’s fiscal year end, this timeline may be decreased due to NIH reduced timeline for these required documents.
Immediate Notification of Undisclosed Other Support
Immediate Notification of Undisclosed Other Support

NIH is allowing no more than 30 days to notify and to submit any new or previously undisclosed Other Support to your NIH GMS that was not disclosed in either your recently submitted RPPR or JIT. Work with your appropriate Central SPO* to submit these documents to NIH.

Moreover, if you obtain a new foreign agreement, award, contract, etc., you also only have no more than 30 days to contact your appropriate Central SPO* and work with them to submit to your NIH.

*If you are a PI, then please work with your Fund Manager in both scenarios.
Resources

1. NIH and NSF Pre-award and Post-award Resource Disclosures Summary Table
2. Examples of What to Disclose to NIH about Senior/Key Personnel on Applications and Awards
3. Additional NIH Resources
4. UC San Diego & UCOP Resources
5. HS SPPO Resources
## NIH and NSF Pre-award and Post-award Resource Disclosures Summary

<table>
<thead>
<tr>
<th>Type of Activity</th>
<th>NIH Requirement(s)</th>
<th>NSF Requirement(s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Undergraduate, graduate, and postdoctoral training, including location, major/area and degree and year</td>
<td>Biographical Sketch</td>
<td>Biographical Sketch</td>
</tr>
<tr>
<td>Current academic, professional, or institutional appointments, whether or not remuneration is received, and, whether full-time, part-time, or voluntary</td>
<td>Biographical Sketch</td>
<td>Biographical Sketch</td>
</tr>
<tr>
<td>All projects currently under consideration from whatever source, and all ongoing projects, irrespective of whether support is provided through the proposing organization, another organization or directly to the individual</td>
<td>Other Support (at just-in-time (JIT) and updated in RPPR); Post-Award Information/Terms and Conditions</td>
<td>Current and Pending Support (including the proposal being submitted) and new support provided in the Research Performance Progress Report (RPPR), Post-Award Information Term and Condition</td>
</tr>
<tr>
<td>In-kind contributions that support the research activity for use on the project/proposal being proposed</td>
<td>Facilities and Other Resources or Equipment section of the R&amp;R Other Project Information Form within the SF 424 Application Package</td>
<td>Facilities, Equipment &amp; Other Resources</td>
</tr>
<tr>
<td>In-kind contributions not intended for use on the project/proposal being proposed</td>
<td>Other Support (At JIT and updated in RPPR); Post-Award Information/ Terms and Conditions</td>
<td>Current &amp; Pending Support and new support provided in the RPPR Post-Award Information Term &amp; Condition</td>
</tr>
<tr>
<td>Consulting that is permitted by an individual's appointment and consistent with the proposing organization's &quot;Outside Activities&quot; policies and procedures</td>
<td>Disclosure Not Required</td>
<td>Disclosure Not Required</td>
</tr>
</tbody>
</table>

Source: [NCURA National Conference](https://www.ncura.org), Federal Perspectives on Research Security & Integrity Session, 8/31/2021
## NIH and NSF Pre-award and Post-award Resource Disclosures Summary

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</thead>
<tbody>
<tr>
<td>Consulting that falls <strong>outside</strong> of an individual’s appointment; separate from institution’s agreement</td>
<td>Other Support (At JIT and updated in RPPR); Post-Award Information/Terms and Conditions</td>
<td>Current &amp; Pending Support and new support provided in the RPPR Post-Award Information Term &amp; Condition</td>
</tr>
<tr>
<td>Travel supported/paid by an external entity to attend a conference or workshop</td>
<td>Disclosure Not Required in Other Support. However, this activity may be considered a FCOI - Significant Financial Interest (Refer to NIH Grants Policy Statement 4.1.10). If considered FCOI disclose in FCOI Module.</td>
<td>Disclosure Not Required</td>
</tr>
<tr>
<td>Travel supported/paid by an external entity to perform research activities with an associated time commitment</td>
<td>Other Support (At JIT and updated in RPPR); Post-Award Information/Terms and Conditions</td>
<td></td>
</tr>
<tr>
<td>Honoraria</td>
<td>Disclosure Not Required</td>
<td>Disclosure Not Required</td>
</tr>
<tr>
<td>Startup company based on organization-licensed Intellectual Property (IP)</td>
<td>Disclosure Not Required in Other Support. However, this activity may be considered a FCOI - Significant Financial Interest (Refer to NIH Grants Policy Statement 4.1.10). If considered FCOI disclose in FCOI Module.</td>
<td>Disclosure Not Required</td>
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<th>NSF Requirement(s)</th>
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</thead>
<tbody>
<tr>
<td>Startup company based on non-organization-licensed IP</td>
<td>Disclosure Not Required in Other Support. However, this activity may be considered a FCOI - Significant Financial Interest (Refer to <a href="https://grants.nih.gov/grants/policy/standards_and_guidance.htm">NIH Grants Policy Statement 4.1.10</a>). If considered FCOI disclose in FCOI Module.</td>
<td>Current &amp; Pending Support and new support provided in the RPPR Post-Award Information Term &amp; Condition</td>
</tr>
<tr>
<td>Visiting scholars in labs</td>
<td>If supported by an outside institution, must be disclosed in Other Support (at JIT and updated in RPPR); considered in-kind contribution to PD/PI or other senior/key personnel.</td>
<td>If supported by an outside entity, and there is an associated time commitment, must be disclosed in Current and Pending and updated in RPPR; considered in-kind contribution to PD/PI or other senior/key personnel.</td>
</tr>
<tr>
<td>Graduate and postdoctoral researchers time on project</td>
<td>If supported by an outside institution, must be disclosed in Other Support (at JIT and updated in RPPR); considered in-kind contribution to PD/PI or other senior/key personnel.</td>
<td>If supported by an outside entity, and there is an associated time commitment, must be disclosed in Current and Pending and updated in RPPR; considered in-kind contribution to PD/PI or other senior/key personnel.</td>
</tr>
<tr>
<td>Teaching commitments</td>
<td>Disclosure not required</td>
<td>Disclosure not required</td>
</tr>
<tr>
<td>Unrestricted Gifts</td>
<td>Disclosure not required</td>
<td>Disclosure not required</td>
</tr>
<tr>
<td>Mentoring as part of appointment</td>
<td>Disclosure not required</td>
<td>Disclosure not required</td>
</tr>
</tbody>
</table>

Source: [NCURA National Conference](https://ncura.org/), Federal Perspectives on Research Security & Integrity Session, 8/31/2021
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<th>NSF Requirement(s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Academic Year Salary</td>
<td>Disclosure not required</td>
<td>Disclosure not required</td>
</tr>
<tr>
<td>Supporting Documentation (e.g. contracts, grants, or any other agreements)</td>
<td>Required specific to specific to senior/key personnel foreign appointments and/or employment with a foreign institution.</td>
<td>Disclosure not required</td>
</tr>
<tr>
<td>Significant Financial Interests</td>
<td>Disclosure Not Required in Other Support. FCOI - Significant Financial Interest (Refer to <a href="https://www.fedgates.gov/grants/policy/statements/4.1.10">NIH Grants Policy Statement 4.1.10</a>). Disclosures must be made in FCOI Module</td>
<td>Must be disclosed in accordance with the institution’s Financial Conflict of Interest (FCOI) policy</td>
</tr>
<tr>
<td>Signature of the Researcher</td>
<td>Required for each PI and all other Senior/Key personnel as named in the application</td>
<td>Certification by the individual will be incorporated into NSF’s next PAPPG</td>
</tr>
</tbody>
</table>

Source: [NCURA National Conference](https://www.ncura.org), Federal Perspectives on Research Security & Integrity Session, 8/31/2021
Examples of What to Disclose to NIH about Senior/Key Personnel on Applications and Awards

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<tbody>
<tr>
<td>All positions and affiliations, including volunteer positions, relevant to the application</td>
<td>X</td>
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<td>X If salary support</td>
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<tr>
<td>Relevant appointments at foreign institutions – even if labeled as “guest,” “adjunct,” “honorary,” with or without salary support</td>
<td>X</td>
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<td>X If salary support</td>
</tr>
<tr>
<td>The number of person-months devoted to projects, even if there is no salary support or direct personal payments to the scientist</td>
<td></td>
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<td>X If new</td>
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<tr>
<td>Income, salary, consulting fees, and honoraria in support of an individual's research endeavors</td>
<td></td>
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<td>X</td>
<td>X If new</td>
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<tr>
<td>Participation in a foreign talent or similar-type programs</td>
<td></td>
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<td>X</td>
<td>X If new</td>
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<tr>
<td>Ongoing and completed research projects from the past three years that the applicant wishes to highlight</td>
<td>X</td>
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</table>

## Examples of What to Disclose to NIH about Senior/Key Personnel on Applications and Awards

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<tbody>
<tr>
<td>All resources and other support, both domestic and foreign, for ongoing research projects, including those conducted at a different institution</td>
<td></td>
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<td>X</td>
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<td>X If new</td>
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<tr>
<td>In-kind contributions from domestic and foreign institutions or governments that support research activities</td>
<td></td>
<td></td>
<td>X</td>
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<td>X If new</td>
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<tr>
<td>Performance of any significant part of an NIH project outside of the US, whether or not funds are expended</td>
<td>X</td>
<td>X If new</td>
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<tr>
<td>Post-doc, student, or visiting scholar supported by a foreign government or institution</td>
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<td>X</td>
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<td>X If new</td>
<td>X</td>
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<tr>
<td>Travel paid by a foreign institution or government over $5,000 per year</td>
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<tr>
<td>Financial interests received from a foreign Institution of higher education or a foreign government</td>
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</tbody>
</table>

Additional NIH Resources

Foreign Influence and Collaboration

- Protecting U.S. Biomedical Intellectual Innovation

Biosketches

- Biosketch Format Pages, Instructions, and Samples
- Biosketches FAQs

Other Support

- Other Support Format Pages, Instructions, and Samples
- Other Support and Foreign components FAQs

Commitment Transparency (NIH Regional Seminar, 11/2/2021)

- YouTube Video of Presentation
UC San Diego & UCOP Resources

UCOP Website
- Foreign Influence

UC San Diego DocuSign eSignature Solution
DocuSign @ UC San Diego

OCGA Website
- International Research Collaborations

HS SPPO Website
- Biosketch Page
- Other Support Page
- Foreign Involvement
RCI Communications

- Research Compliance and Integrity Helpline: (858) 822-4939, rci@ucsd.edu
  - RCI Office Hours, Tuesdays from 11-12: https://calendly.com/ucsdrcioffice
- Conflict of Interest Helpline: (858) 534-6465, info-coi@ucsd.edu
- Export Control Helpline: (858) 246-3300, export@ucsd.edu
- IACUC Helpline: (858) 534-6069, iacuc@ucsd.edu
- Hot Topics Eblasts and Newsletters: http://blink.ucsd.edu/sponsor/rci/news.html

- Research Compliance and Hot Topics
  Training Program: https://blink.ucsd.edu/sponsor/rci/research-compliance-hot-topics.html
- To be added to the RCI list serv, please email rci@ucsd.edu