



Office of Contract & Grant Administration

Campus Forum

June 26, 2018



Agenda

- Welcome, Thank You and Introductions
- Blink Updates – New IDC Rate Agreement Posted!
- Special Guests – Research Compliance & Integrity
 - Angie McMahon, Executive Director – RCI
 - Brittany Whiting, UC San Diego Export Control Officer
 - Kristen Vicino-Anderson, Director – IACUC
 - Jennifer Ford, Director – COI
- New Fellowship Definition and Process (Trevor Johnson, OCGA)

FELLOWSHIPS

July 2, 2018 – Fellowships proposals will be entered in EPD

Topics:

- Fellowship Definition
- IDC
- Central Offices
- EPD



FELLOWSHIP DEFINITION

- A. Merit Based** – in response to a competitive application/announcement
- B. Awarded to a Specific Individual** – award follows the individual should they leave the University
- C. Mentored** – they are not an independent investigator and will be guided/hosted by a Faculty member in their lab
- D. Intended to enhance an individual's potential to develop into an independent researcher/scholar**

FELLOWSHIP EXCLUSIONS

At UC San Diego, fellowships that are administered by the University through a SPO exclude:

- Awards to Faculty – these will be handled as grants/contracts
- Undergraduate fellowships – will be handled on a case-by-case basis
- Postdoc Pay Directs and Graduate Students paid directly
- Fellowship programs administered by the University – University picks the fellows
- Programs or fellowships funded by gifts

SPONSOR SPECIFIC GUIDANCE

Sponsor Category	Requirement
Federal	Must have a specific funding opportunity identifying the intent to award fellowships. Submitted through a central office.
Non-profit	Must determine the intent of the funding. If the intent is not clear in the announcement or sponsor website, clarification with sponsor is needed: Determining factors include: (1) Does it meet the high-level definition? (2) How does the sponsor classify it? (3) What are the reporting requirements/deliverables, final outcomes, basic financial reporting (4) Are the terms and conditions appropriate for a fellowship?
Industry	Must be very careful about the sponsor's intent. The program must be for the development of the individual and clearly distinguishable from research contracts solicited by the company that state anticipated outcomes in specific areas of interest to the company.
Foreign Govt.	Foreign government fellowships may be received as a subaward from a foreign university or non-profit requiring additional scrutiny or negotiation. Additionally foreign government fellowships may pay the fellow directly but still require an agreement with the US institution. As with US federal fellowships, the original sponsor should have a specific funding opportunity identifying the intent to award fellowships.



INDIRECT COSTS (IDC)

- If IDC is allowed it needs to be requested/budgeted
 - Federal – will have a clear written policy in funding announcement
 - Non-profit – need a written policy; if total costs are capped or the IDC policy is unclear, work with your SPO analyst to confirm
 - Industry – reduced IDC (from the federally-negotiated rate) may be allowed under very specific conditions, work with your SPO analyst to confirm
 - Foreign government – should have a clear written policy in original funding opportunity, SPO analyst will work with foreign institution
- If you have any questions on IDC talk to your SPO analyst

CENTRAL OFFICES – PROPOSAL/AWARD REVIEW

New fellowship proposals will be routed using the same logic as grant and contract proposals:

- OCGA: General Campus and Health Sciences (non-NIH)
- HS SPPO: Health Sciences NIH and AHRQ, including subaward fellowships
- SIO OCGA: SIO departments

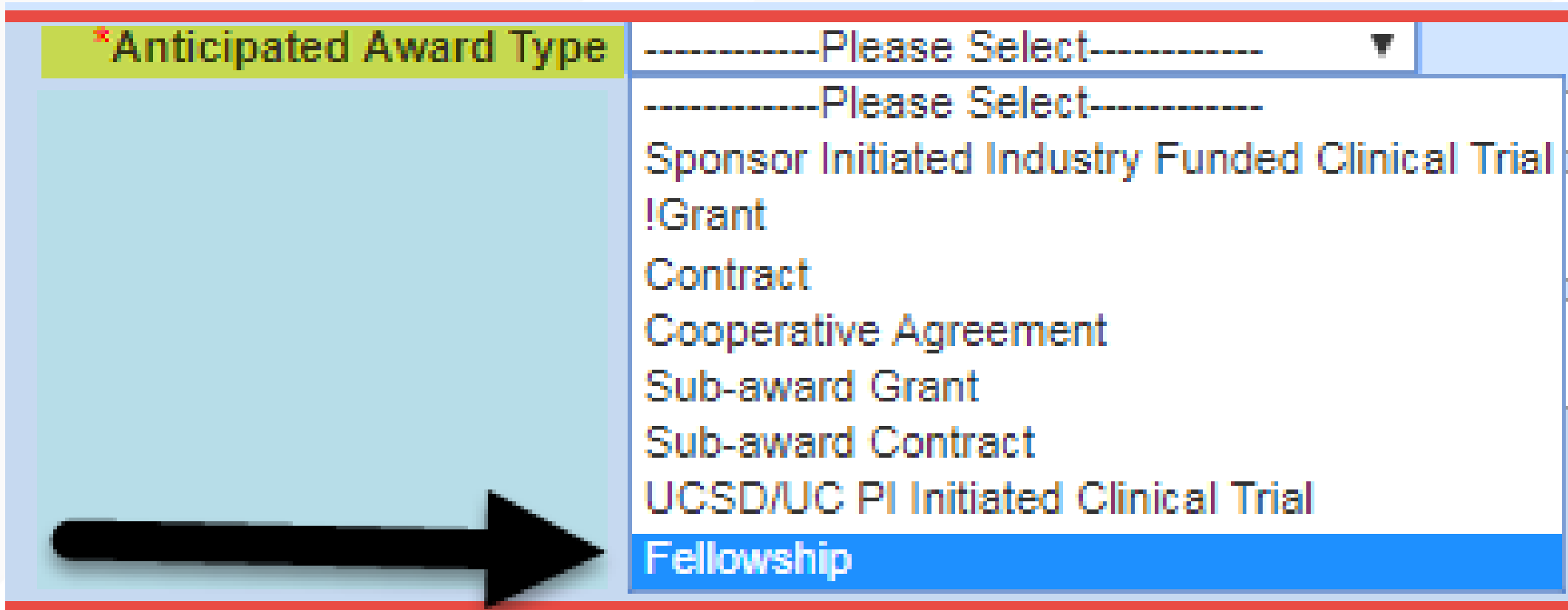
The Graduate Division functions as the central office for all Graduate Student Fellowships

- Proposal review and submission
- Award acceptance and signature
- Professional degree (MD or PharmD) and dual degree (MD/PhD) students are NOT handled by the Grad Division



EPD GENERAL INFO SCREEN

- Anticipated Award Type: Select 'Fellowship' from the dropdown menu.



The screenshot shows a web form with a dropdown menu for 'Anticipated Award Type'. The dropdown is open, showing a list of options. A black arrow points to the 'Fellowship' option, which is highlighted in blue. The other options in the list are: 'Please Select', 'Please Select', 'Sponsor Initiated Industry Funded Clinical Trial', 'Grant', 'Contract', 'Cooperative Agreement', 'Sub-award Grant', 'Sub-award Contract', and 'UCSD/UC PI Initiated Clinical Trial'.

Anticipated Award Type
-----Please Select-----
-----Please Select-----
Sponsor Initiated Industry Funded Clinical Trial
Grant
Contract
Cooperative Agreement
Sub-award Grant
Sub-award Contract
UCSD/UC PI Initiated Clinical Trial
Fellowship

EPD NOTES

Investigator Tab

- **Proposed Fellow** will be entered as the **Principal Investigator (PI)** on all Fellowship Proposals.
- **Faculty member (mentor/sponsor)** will be entered as a **Co-Investigator (Co-I)** if a Conflict of Interest disclosure is required.
-OR-
- **Faculty member (mentor/sponsor)** will be entered as a **Key Study Person** if a Conflict of Interest is not required.

Special Review Tab

- 700U disclosures are not required for fellowships.
- PI Exceptions are not required for fellowships.



EPD ROUTING

- Institutionally, department approvals are not required for Fellowships
- Each department can institute their own policies as to who should approve and work with their SPO office to implement.