Office of Contract & Grant Administration

Campus Forum

April 17, 2018

Agenda

- Welcome and Introductions
  - New OCGA Staff Members
- New E-Forms
  - MCA
  - Service Agreements
- Federal Updates
- Large Industry Partnerships/Projects (Tata, IBM, SRC)
- Research.gov and NSF Submissions
- IDC for Industry Sponsors
- Open House and Bootcamp Training! May 1, 2018 @ OCGA
- Next Forum 6/26 same location
- NIH GSR Compensation Guidelines
- NIH NCE Request Process

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New Outgoing MCA E-Form

- https://blink.ucsd.edu/research/managing-awards/award-administration/mca/form.html

- To be used for any MCAs going to other campuses after award is received and fund has been setup

- No change to proposal process via HSSPPO and OCGA

New Service Agreement E-Form (VCAA)

- https://blink.ucsd.edu/buy-pay/contract-svcs/service-agreements/index.html
- For use when UCSD is providing services to another party
- Reminder: OCGA/OPAFS do not provide post-award management for service agreements
- Contact General Accounting for IFOP setup: selfsupportact@ucsd.edu

Federal Update

Grants.gov Workspace:

- The Reopen Workspace function must only be used if approved by the sponsor.

- The changes do not override the previous submission. The sponsor will see two submissions of the same application.

Federal Update

NIH Salary Cap:

- On March 7, 2018, NIH sent out a notice regarding the Executive Level II Salary Cap for FY 2018 (NOT-OD-18-137).

- Effective for NIH proposals on or after January 7, 2018, the salary cap will be $189,600.

Salary Cap Summary (FY 1990 - Present)

FY 2018 Awards Issued

October 1, 2017 through January 6, 2018 (Executive Level II)	$187,000
January 7, 2018 through September 30, 2018 (Executive Level II)	$189,600

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Federal Update

NIH Salary Cap:

- Please note the following information:
  - No adjustments in funding will be made to previously established commitment levels for non-competing grant awards issued with FY 2018 funds.
  - While adjustments will no occur, rebudgeting is allowable if adequate funds are available.
  - For NOAs issued between October 1, 2017 and January 6, 2018, the rate of $187,000 should be used, but after January 7, 2018, you may increase to the new NIH Executive Salary Level II salary cap of $189,600.

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Federal Update

- New NSF user registration process:
    1. Complete the NSF Account Registration Form.

Account Registration

ⓘ NSF Proposal & Award Policies & Procedures Guide (PAPPG) specifies that each individual user of NSF systems should not have more than one NSF ID (Chapter I.G.4, NSF ID). Forgot/Look Up your NSF ID?

* Required Fields

Prefix	*** First Name**	**Middle Name**	*** Last Name**	**Suffix**
Select One ▾				Select One ▾

Alternate Name(s) Nickname, maiden name, etc.

* Primary Email ⓘ For account recovery   *** Confirm Primary Email**

Secondary Email   **Confirm Secondary Email**

ORCID iD ⓘ 16-digits ie. 1234-1234-1234-1234   **Phone Number**

Federal Update

- New NSF user registration process:

  2. Use your NSF ID and temporary password to access Research.gov.

  3. Select the Administrator role.

  3. OCGA will re-assign your role to Other Authorized User.

NSF Research.Gov Proposal Submission

Research.gov Proposal Preparation and Submission

- Modernizes the applications supporting the proposal submission and merit review processes and *improves the user experience* via the development of a new application

- *Reduces the administrative burden* to the research community and NSF staff associated with preparation, submission, and management of proposals

- *Increases efficiencies* in proposal preparation, submission, and management

- *Improves data quality* and captures proposal content in a way that *supports data analytics*

- *Improves availability*, security, and flexibility of proposal preparation and submission IT systems
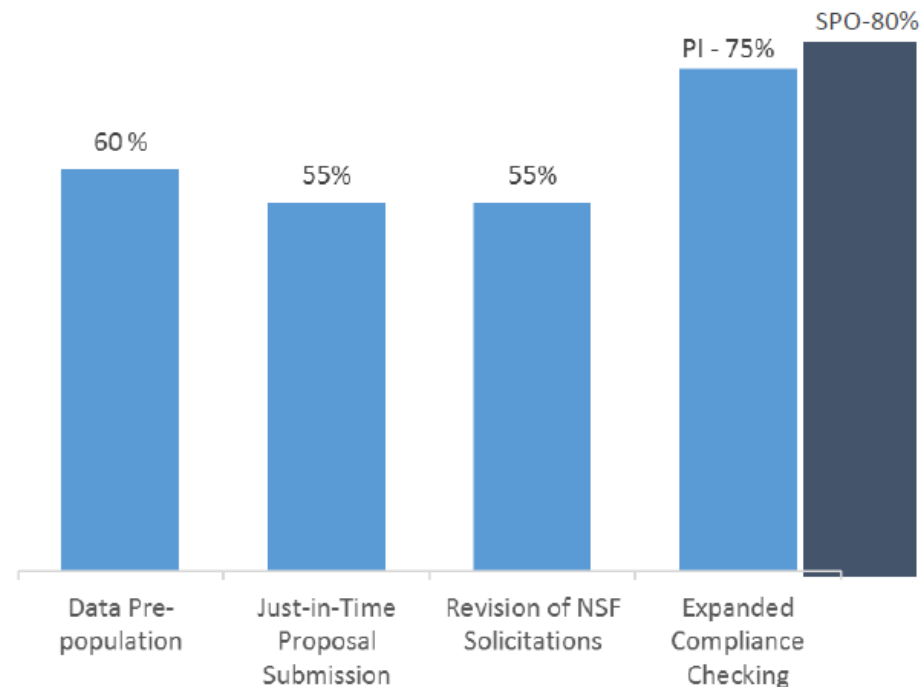
NSF Research.Gov Proposal Submission

Why Are We Modernizing NSF's Proposal Submission Capability

In June 2015, NSF surveyed PIs and SPOs about the proposal submission capabilities available in FastLane and used this feedback to target proposal submission modernization improvements.

The Problem Statement

- Ease of Use — 33%
- Webpage Layout not Intuitive — 40%
- Administrative Burden — PI - 25%, SPO - 10%
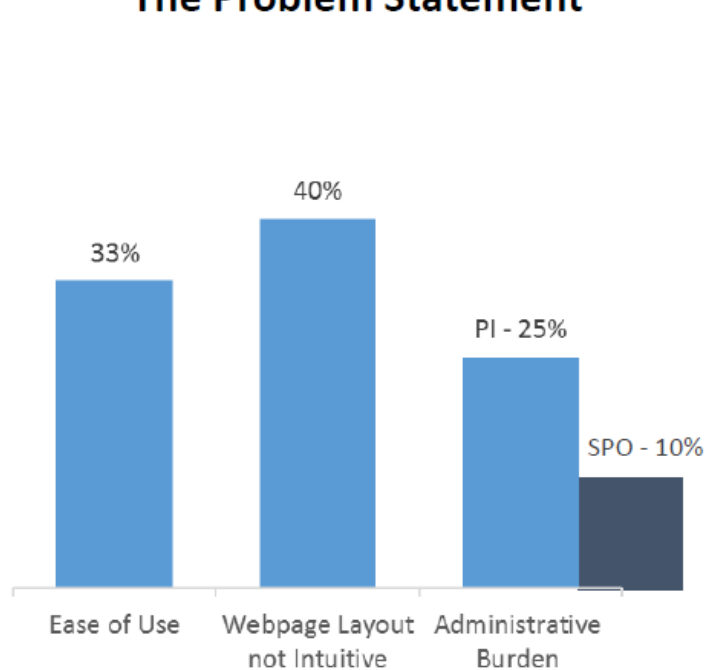
Suggestions for Potential Solutions

- Data Pre-population — 60%
- Just-in-Time Proposal Submission — 55%
- Revision of NSF Solicitations — 55%
- Expanded Compliance Checking — PI - 75%, SPO-80%

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NSF Research.Gov Proposal Submission

Some Frequently Asked Questions in the Preview

Where are the Academic and Summer months on the Budget?

- We've tried to simplify the budget. All person months can just be counted in the calendar months column

Where do I put my page numbers, the system says I can't use the margin?

- The system will automatically paginate the document for you, so no page numbers are required in the uploaded document

I keep getting font size and type issues on my upload!

- We are still tuning our compliance checking algorithm… Check all bullets, equations, captions, tables, super and subscripts.

In addition to screen instructions in the application, tips will also be provided on the FAQ page on Research.gov

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For-Profit Industry Sponsored Projects F&A

Industry-funded sponsored research
- Full F&A rates consistent with the Federally-negotiated Rate Agreement

Industry-funded clinical trials
- 30% Total Direct Cost (TDC)

For-Profit Industry Sponsored Projects F&A

Definition of Clinical Trial for F&A purposes:

Solely for purposes of indirect cost (IDC) calculation, a study is considered a clinical trial when it contemplates the controlled, clinical testing in human subjects of investigational new drugs, devices, treatments, or diagnostics, or comparisons of approved drugs, devices, treatments, or diagnostics, to assess their safety, efficacy, benefits, costs, adverse reactions, and/or outcomes.

For-Profit Industry Sponsored Projects F&A

Exceptions cannot be made based on a for-profit sponsor's F&A policy for research or clinical trial activities.

The California State Auditor has ruled that reductions of F&A cost rates to for-profit entities by publicly funded institutions is a gift of public funds for private benefit.

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For-Profit Industry Sponsored Projects F&A

Not utilizing the appropriate F&A rate at the time of proposal or during initial discussions with the sponsor may result in the following:

- Reduction in direct costs
- Revision to the statement of work
- Delay in execution of the agreement
- Jeopardizing the relationship with the sponsor

For-Profit Industry Sponsored Projects F&A

Resources:

Budgets – Indirect Costs (IDC)

https://blink.ucsd.edu/research/preparing-proposals/proposal-development/budgets/indirect.html#UC-San-Diego-Indirect-Cost-Rate

UC Policies on Industry Agreements

https://blink.ucsd.edu/research/preparing-proposals/sponsors/industry/policies.html

OCGA Open House & Bootcamp Training

- **May 1, 2018 @ Torrey Pines Center North (3rd Floor)**
- Sessions include
  - Varying IDC rates and how to budget
  - Non-Disclosure Agreements
  - Subawards, Subcontract, Multi-Site Clinical Trials
  - IDC and The True Cost of Research
  - COI and Export Control Related Issues in Research
  - Material Transfer Agreements and using the eMTA system
  - OCGA Reporting Tools for Departments

NIH GSR Compensation Guidelines

- The maximum amount allowed by NIH for the support of a graduate student is equal to the amount paid to a first-year postdoctoral scientist at the same institution performing comparable work.

- UCSD interprets this to include the postdoctoral National Research Service Award (NRSA) stipend level zero plus benefits.

NIH GSR Compensation Guidelines

- Total compensation includes: salary, employee benefits, and tuition remission.

- For UCSD graduate students, "full-time" means 50% (4.5 person months) during the academic year and 50–100% (1.5–3 person months) during the summer months, depending on the graduate program.

- Please see OCGA's Blink page on NIH GSR Compensation.

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NIH GSR Compensation Guidelines

- Current NRSA levels are located on the [NIH Research Training Platform.](#)

NIH No-Costs Extension Request

- First no-cost time extension:
  - The request cannot be made before 90-days prior to the end date or after the end date.

  Step 1 – Complete the internal FDP/No-cost Extension form, and send it to your Contract and Grant Officer. The request cannot be made before 90-days prior to the end date or after the end date.

NIH No-Costs Extension Request

- First no-cost time extension:

  Step 2 – OCGA revises the end date in NIH eRA Commons.

  To extend the period, select the number of months for which you would like to extend the project period for this award.
  Project End Date: 4/30/2018
  Extend: * 12 ▼

  This will extend the project to 4/30/2019

  Extend Project Date | Cancel

  Step 3 – OCGA receives a confirmation email from NIH and extends the project in Coeus.

NIH No-Costs Extension Request

- Second no-cost time extension:
  - Any additional project period extension beyond the initial extension of up to 12 months requires NIH prior approval. The request should include a description of the project activities that require support during the extension and a statement about the funds available to support the extension.

    Step 1 – Send the NIH-required information in the form of a letter from the PI to your Contract and Grant Officer.

    Step 2 – OCGA submits the request to the NIH Grants Management Specialist.

    Step 3 – If approved, OCGA receives a revised Notice of Award from NIH and extends the project in Coeus.

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