Other Support

Information on other support assists awarding agency staff in the identification and resolution of potential overlap of support. Overlap, whether scientific, budgetary, or commitment of an individual's effort greater than 100 percent*, is not permitted. The goals in identifying and eliminating overlap are to ensure that sufficient and appropriate levels of effort are committed to the project; that there is no duplication of funding for scientific aims, specific budgetary items, or an individual's level of effort; and only funds necessary to the conduct of the approved project are included in the award.

*BE AWARE OF APPOINTMENT TYPE AND CLINICAL, TEACHING AND/OR ADMINISTRATIVE REQUIREMENT; EFFORT MAY NEED TO BE CAPPED AT 95% (11.4 CALENDAR MONTHS) TO ALLOW TIME FOR THOSE ACTIVITIES.

- NIH Other Support
- NSF Current and Pending Support
- DOD Current and Pending Support
- Other Support FAQs
- Additional Resources

NIH OTHER SUPPORT

All resources, whether Federal, non-Federal, commercial or institutional, available in direct support of an individual's research endeavors, including but not limited to research grants, cooperative agreements, contracts, and/or institutional awards.

Includes (but not limited to):

- all foreign and domestic entities
- financial support for laboratory personnel
- provision of high-value materials that are not freely available (e.g., biologics, chemical, model systems, technology, etc.)

Does not include Training awards, prizes and gifts.

The following information needs to be included on Other Support:
1. List all positions and scientific appointments both domestic and foreign
   - This includes titled academic, professional, or institutional appointments whether or not remuneration is received, and whether full-time, part-time or voluntary (including adjunct, visiting or honorary).
   - A Lecture or Visiting Professor at a Foreign Entity is an example.

2. Report all resources, irrespective of whether such support is provided through the applicant organization, through another domestic or foreign organization, or is provided directly to an individual that supports the senior/key personnel's research efforts.

3. Report even if the support received is only in-kind (e.g. office/laboratory space, equipment, supplies, employees)
   - This includes visiting scholars/students working in lab who are supported by a domestic or foreign entity either through salary, stipend or receipt of living or travel expenses.

NIH Other Support is required for all Senior/Key Personnel at Just-in-Time (JIT) and changes are reported in each annual research performance progress report (RPPR).

**What is a Foreign Component?**

Foreign Component is the existence of any "significant scientific element or segment of a project" outside of the United States, in other words

1. performance of work by a researcher or recipient in a foreign location, whether or not NIH grant funds are expended and/or

2. performance of work by a researcher in a foreign location employed or paid for by a foreign organization, whether or not NIH grant funds are expended.

If project Staff (including visiting faculty, scientists, etc.) leave the country to return home due to COVID-19 and continue to work on the project, whether paid by the project or not, this could qualify as a foreign component and would require prior approval from the NIH IC.

For Postdoctoral scholars that are required to work on their originally approved work remotely from a foreign country due to COVID-19 travel restrictions, where no grant funds are going to a foreign entity, NIH has determined this scenario does not constitute the performance of a significant element or segment of the project outside the US. Therefore, this is not considered a foreign component and does not require prior approval.

SOURCE: NIH NOT-OD-19-114, NIH COVID-19 FAQ

**What are some examples of a "significant element of a project" when making determinations regarding a foreign component?**
The recipient institution should evaluate the element of the project that is being conducted outside of the United States within the context of the project as a whole when making determinations about significance. Some examples of activities that may be considered a significant element of the project include, but are not limited to:

- collaborations with investigators at a foreign site anticipated to result in co-authorship
- use of facilities or instrumentation at a foreign site
- receipt of financial support or resources from a foreign entity

SOURCE: NIH FAQS

**Does a Foreign Component need to be included in Other Support?**

The Foreign component should be part of the proposal, R&R Other Project Information Form, not the Other Support document. The addition of a foreign component to an ongoing NIH grant continues to require NIH prior approval, as outlined in the NIHGPS, Section 8.1.2, Prior Approval Requirements. Principal Investigators should work with their Sponsored Projects Team to submit the prior approval request.

If an activity does not meet the definition of foreign component because all research is being conducted within the United States, but there is a non-U.S. resource that supports the research of an investigator and/or researcher, it must be reported as other support.

For example, if a PD/PI of an NIH-funded grant has a collaborator outside of the U.S. who performs experiments in support of the PD/PI's NIH-funded project, this would constitute a foreign component, regardless of whether the foreign collaborator receives funding from the PD/PI's grant. Additional funding from a foreign source for the NIH-supported research of a PD/PI at a U.S. institution would not constitute a foreign component but would necessitate reporting as other support.

SOURCE: NIH NOT-OD-19-114

**NIH Resources:**

- NIH Other Support Instructions
- NIH Other Support Samples (JIT OS, RPPR OS, JIT OS with VA joint appointment, K Awards with Complementary effort and/or Concurrent support)

- NOT-OD-19-114: Reminders of NIH Policies on Other Support and on Policies related to Financial Conflicts and Foreign Components

- NIH Other Support FAQs

- NIH Other Support Grants.gov Page

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**NSF CURRENT AND PENDING SUPPORT**

All resources, whether Federal, non-Federal, commercial or institutional, available in direct support of an individual's research endeavors, including but not limited to research grants, cooperative agreements, contracts, and/or institutional awards.

Includes (but not limited to):

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- financial support for laboratory personnel
- provision of high-value materials that are not freely available (e.g., biologics, chemical, model systems, technology, etc.)

Does not include Training awards, prizes and gifts.

**The following information needs to be included on Other Support:**

1. List all positions and scientific appointments both domestic and foreign

   - This includes titled academic, professional, or institutional appointments whether or not remuneration is received, and whether full-time, part-time or voluntary (including adjunct, visiting or honorary).

   - A Lecture or Visiting Professor at a Foreign Entity is an example.
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- NIH Other Support FAQs
- NIH Other Support Grants.gov Page

**NSF CURRENT AND PENDING SUPPORT**

All proposed and ongoing projects from all sources (federal, state, local, foreign, public or private foundation, non-profit, industry or commercial, or internal funds), whether provided through the proposing organization or provided directly to the individual.

Includes:

- All resources made available to an individual in support of and/or related to their research efforts, regardless of whether or not they have monetary value
- All in-kind contributions (e.g. office/laboratory space, equipment, supplies, employees, students) or items or services, whether intended/not intended for use on the project/proposal, and with the expectation of an associated time commitment
  - If the time commitment or dollar value is not readily ascertainable, reasonable estimates should be provided
  - In-kind contributions intended for use on the project with no associated time commitment are included in the Facilities, Equipment, and Other Resources section of the proposal and are not replicated in the current and pending support
In-kind contributions not intended for use on the project with no associated time commitment are not reported.

- Startup packages from any organization other than the proposing organization
- If the project or any part of the project was funded previously by a source other than NSF, information must be provided regarding the last period of funding

NSF Current and pending Support is a required for each individual designated as senior personnel as part of the proposal.

**Post-Award Disclosure:**

If it is discovered that a PI or a co-PI on an active NSF grant failed to disclose current support or in-kind contribution information as part of the proposal submission process (see PAPPG Chapter II.C.2.h), the AOR must submit the Current Support information within 30 calendar days of the identification of the undisclosed current support or in-kind contribution through use of the "Other Request" category in the Notification and Request Module in Research.gov. Please work with your [Sponsored Projects Team](#) to follow the instructions in the NSF [Award Terms and Conditions - Article 48: Post-Award Disclosure of Current Support and In-Kind Contribution Information](#).

**NSF Resources:**

- [NSF PAPPG 20-1 Current and Pending Support](#)
- [NSF PAPPG (NSF 20-1) webinar](#) (recorded February 6, 2020)
- [FAQs](#) addressing policy questions related to the PAPPG (NSF 20-1) clarifications to the current and pending support coverage, as well as questions regarding use of an NSF-approved format for current and pending support (updated July 30, 2020)
- [NSF-Approved Formats for Current and Pending Support](#) website
- [SciENcv Guidance on Creating an NSF Current and Pending Support document](#), including step-by-step instructions and screenshots for the two required sections (This is a subsection of the guidance at [SciENcv Help](#).)
DOD PREVIOUS/CURRENT/PENDING SUPPORT

For all previous (award period of performance ending within the past 5 years), current, and pending research support, include the title, time commitments, supporting agency, name and address of the funding agency's procuring Contracting/Grants officer, performance period, level of funding, brief description of the projects goals, and list of the specific aims. If applicable, identify where the proposed project overlaps with other existing and pending research projects. Clearly state if there is no overlap.

An updated previous, current and pending support document will be required if an award is recommended for funding.

DOD Resources

- [DOD Example](#)
- [DOD Memo- Actions for Protection of Intellectual Property, Controlled Information, Key Personnel and Critical Technologies](#)