Agenda

• Welcome, Thank You and Introductions
• Blink Updates – New IDC Rate Agreement Posted!
• Special Guests – Research Compliance & Integrity
  • Angie McMahill, Executive Director – RCI
  • Brittany Whiting, UC San Diego Export Control Officer
  • Kristen Vicino-Anderson, Director – IACUC
  • Jennifer Ford, Director – COI
• New Fellowship Definition and Process (Trevor Johnson, OCGA)
Conflict of Interest (COI)
Export Control
Institutional Animal Care and Use Committee (IACUC)
Oversight and Responsibility for:
• Conflict of Interest (COI)
• Dual Use Research of Concern (DURC)
• Export Control and Facility Security
• Institutional Animal Care and Use Committee (IACUC)
• Research Integrity

Website: RCI.UCSD.EDU
Top Ten Points for Conflict of Interest (COI) Office

Jennifer J. Ford
Director
Conflict of Interest (COI) Office Services

• Reviews disclosures of financial interests from University faculty and staff
• Ensures compliance with all applicable regulations
• Serves as a resource to University faculty, staff and institutional offices
#1: WE HAVE THREE COI FORMS

<table>
<thead>
<tr>
<th>Funding Agency</th>
<th>Primary Form</th>
<th>Addendum/Supplement</th>
</tr>
</thead>
<tbody>
<tr>
<td>Federal: Public Health Services (PHS) (i.e. NIH and those who have adopted PHS)</td>
<td>PHS</td>
<td>Supplement</td>
</tr>
<tr>
<td>Federal: Non-PHS (i.e. NSF, CIRM, UC Programs)</td>
<td>Non-PHS (9510)</td>
<td>Addendum</td>
</tr>
<tr>
<td>Non-Federal: For-Profit or Non-Profit**</td>
<td>700U</td>
<td>Addendum</td>
</tr>
</tbody>
</table>

*There are sponsors exempt from the COI disclosure requirement.

** Includes research and other related activities (gifts, service agreements, MTAs, unfunded projects, etc.)
#2: EACH FORM HAS DIFFERENT TIMING, REQUIREMENTS AND THRESHOLDS

<table>
<thead>
<tr>
<th>Funding Entity</th>
<th>Timing* When to Disclose</th>
<th>Requirements Who Must Disclose</th>
<th>Thresholds Within the last 12 months prior to disclosure</th>
</tr>
</thead>
<tbody>
<tr>
<td>Public Health Services (PHS): NIH or those that have adopted FCOI</td>
<td>Initial submission, Change in funding, Addition of new personnel, Change in financial interest, No cost extension, At least annually</td>
<td>Principal Investigator (PI), Project Director, Senior/Key Personnel, and Others who direct or can materially influence the research, or who are responsible for the design, conduct, and reporting of such research</td>
<td>Publically Traded Entity: income or equity exceeds $5,000 Non-Publically Traded Entity: compensation exceed $5,000 or any equity Intellectual Property rights and interest: Income (other than UC) exceed $5,000 Travel: exceeding $5,000</td>
</tr>
<tr>
<td>Federal Non-PHS:** NSF, CIRM and UC Programs</td>
<td>Initial submission, Change in funding, Change in financial interest</td>
<td>Principal Investigator (PI), and All other individuals who have the responsibility for the design, conduct or reporting of research</td>
<td>Income from entity: exceeds $10,000 Investments from entity: Exceeds $10,000 or exceeds more than 5% of ownership interest Position with the entity: founder or management position Intellectual Property: any intellectual property other than UC</td>
</tr>
<tr>
<td>Non-Federal:** Non-Profit or For-Profit</td>
<td>Initial submission, Additional funding, Renewal proposal Change in financial interest</td>
<td>Principal Investigator (PI) Studies involving human subjects, PI and any study personnel with a financial interest in an entity that would reasonably appear to be affected by the research</td>
<td>Income from entity: exceeds $500 Investments from entity: exceeds $2,000 Position with the entity: founder or management position Gifts: exceeds $50 Travel: any amount Loans: exceeds $500</td>
</tr>
</tbody>
</table>

*Important: Update of Financial Interests for Sponsored Activities within 30 days

**There are sponsors exempt from the COI disclosure requirement
#3: ENSURE THE FORMS ARE COMPLETE, ACCURATE, SIGNED AND DATED AT THE TIME OF PROPOSAL

<table>
<thead>
<tr>
<th>Who is the Sponsor?</th>
<th>Which Disclosure Form?</th>
<th>What to Disclose?</th>
<th>Who decides if the research and the interests are related?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Public Health Services (PHS) (NIH and those who have adopted PHS)</td>
<td>PHS</td>
<td>Disclose all interests related to the discloser’s Institutional Responsibilities*</td>
<td>Institution</td>
</tr>
<tr>
<td>Federal Non-PHS (NSF, CIRM, UC Programs)</td>
<td>9510</td>
<td>Disclose any interests related to the work to be conducted under the proposed project</td>
<td>Discloser</td>
</tr>
<tr>
<td>Non-Federal (For-Profit or Non-Profit)**</td>
<td>700U</td>
<td>Disclose any interests with the sponsor(s)</td>
<td>Institution</td>
</tr>
</tbody>
</table>

*Reasonably appears to be related to or is in the same field of expertise as your Institutional Responsibilities.

** Includes research and other related activities (gifts, service agreements, MTAs, unfunded projects, etc.)
#4: SUBMIT THE APPROPRIATE PRIMARY FORM(S): PHS

## FINANCIAL INTEREST DISCLOSURE FOR PHS-FUNDED RESEARCH

**FORM INSTRUCTIONS**

Who must submit this form?

All PHS-funded investigators (i.e., the Project Director/Principal Investigator, any other person identified as Senior/Key personnel in a grant application, progress report, or other report submitted to the PHS, and others who direct or can materially influence the research, or who are responsible for the design, conduct, and reporting of such research) will be required to disclose any Significant Financial Interests related to their institutional responsibilities. The requirement for disclosure extends to the spouse, registered domestic partner and dependent child(ren) of such personnel.

<table>
<thead>
<tr>
<th>I. Investigator Information</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>First Name</td>
<td>Last Name</td>
</tr>
<tr>
<td>Department</td>
<td>Role on Project</td>
</tr>
<tr>
<td>Mail Code</td>
<td>E-mail Address</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>II. Project Information</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Project Title</td>
<td></td>
</tr>
<tr>
<td>Type of Proposal/Award</td>
<td>Prime Funding Agency</td>
</tr>
<tr>
<td>UCSD Proposal Number</td>
<td>Sponsor Award Number</td>
</tr>
<tr>
<td>Budget Period From</td>
<td>Budget Period To</td>
</tr>
<tr>
<td></td>
<td>Project Begin Date</td>
</tr>
<tr>
<td></td>
<td>Project End Date</td>
</tr>
<tr>
<td>Entity Providing Subaward (if applicable)</td>
<td></td>
</tr>
</tbody>
</table>

II (a). Project Information - Subrecipients

Will a subrecipient be involved in the proposed research? If “Yes” and if the subrecipient organization has been identified, please detail below.

<table>
<thead>
<tr>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Name of Organization(s):</td>
</tr>
</tbody>
</table>

III. Disclosure

NOTE: For the purposes of this form, the questions below refer to significant financial interests related to your Institutional Responsibilities. **Institutional Responsibilities** is defined as teaching/education, research, outreach, clinical service, training and University and public service on behalf of UC San Diego and directly related to those credentials, expertise and achievements upon which your UC San Diego position is based.

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A. Public Funded Entity
#4: SUBMIT THE APPROPRIATE PRIMARY FORM(S): NON-PHS (9510)

9510 FORM
Disclosure of Financial Interests Related to Federal and Other Sponsored Projects Adopting the Federal Requirements
University of California, San Diego

<table>
<thead>
<tr>
<th>PI Last Name</th>
<th>Mail Code</th>
</tr>
</thead>
<tbody>
<tr>
<td>PI First Name</td>
<td>Phone #</td>
</tr>
<tr>
<td>Title</td>
<td>Fax #</td>
</tr>
<tr>
<td>Department</td>
<td>Email</td>
</tr>
</tbody>
</table>

**Type of Proposal / Disclosure:**
- New
- *Continuation
- *Add Researcher to the Project
- *Change of Principal Investigator
- *Change in financial interest of Principal Investigator or Researcher

**Agency Information:**
- National Science Foundation - NSF
- California Institute for Regenerative Medicine - CIRM
- UC Discovery Grant Program (MICRO, bio, com, dig, ele, Isit)
- UCOP Special Research Programs (BCRP, CHRP, TRDRP)
- Subaward - List Subaward agency and "Prime" funding names below:

*If not a "New" proposal, list:

Previous UCSD #
#4: SUBMIT THE APPROPRIATE PRIMARY FORM(S): 700U

CALIFORNIA FORM 700-U
FAIR POLITICAL PRACTICES COMMISSION

STATEMENT OF ECONOMIC INTERESTS
FOR PRINCIPAL INVESTIGATORS
A Public Document

Please type or print in ink.

<table>
<thead>
<tr>
<th>NAME (LAST)</th>
<th>(FIRST)</th>
<th>(MIDDLE)</th>
<th>TELEPHONE NUMBER</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>ACADEMIC UNIT OR DEPARTMENT</th>
<th>MAIL CODE</th>
<th>E-MAIL ADDRESS</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>TITLE OF RESEARCH PROJECT</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
</tr>
</tbody>
</table>

1. Information Regarding Funding Entity
(Use a separate Form 700-U for each funding entity.)

Name of Entity:

Address of Entity:

3. Filer Information - Cont.

D. Have you received loans from the entity in Part 1 for which the balance exceeded $500 during the reporting period?

No ☐ Yes ☐ – highest balance:

☐ $500 - $1,000
☐ $1,001 - $10,000
☐ $10,001 - $100,000
☐ Exceeded $100,000
#5: IF THE DISCLOSER MARKS “YES” TO THE PRIMARY FORM

Then the supplement or addendum must be completed, signed and dated

<table>
<thead>
<tr>
<th>Sponsor</th>
<th>Disclosure Form Required with Initial Submission</th>
<th>Additional Form Required if Positive</th>
<th>When does COI Office review?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Public Health Services (PHS) (NIH and those who have adopted PHS)</td>
<td>PHS form</td>
<td>Supplement</td>
<td>Notice of Award</td>
</tr>
<tr>
<td>Federal Non-PHS (NSF, CIRM, UC Programs)</td>
<td>9510</td>
<td>Addendum</td>
<td>Proposal submission</td>
</tr>
<tr>
<td>Non-Federal (For-Profit or Non-Profit)**</td>
<td>700U</td>
<td>Addendum</td>
<td>Proposal submission</td>
</tr>
</tbody>
</table>
#6: TIMELY RESPONSES TO COI OFFICE REQUESTS FOR INFORMATION

- Request clarification about
  - The financial interest
  - Form content

- Additional documentation
  - Copy of consulting agreement
#7: EMAIL COMPLETED, SIGNED AND DATED FORMS

To coiforms@ucsd.edu with ePD # or UCSD #
For PHS projects PI and senior/key personnel must complete their PHS training every four years.
We may need two disclosures. Some common examples:

<table>
<thead>
<tr>
<th>Prime Sponsor</th>
<th>Sponsor</th>
<th>PHS form required?</th>
<th>9510 form required?</th>
<th>700U form required?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Federal PHS</td>
<td>For-Profit or Non-Profit</td>
<td>Yes</td>
<td>No</td>
<td>Yes</td>
</tr>
<tr>
<td>Federal Non-PHS</td>
<td>For-Profit or Non-Profit</td>
<td>No</td>
<td>Yes</td>
<td>Yes</td>
</tr>
<tr>
<td>For-Profit</td>
<td>Non-Profit</td>
<td>No</td>
<td>NO</td>
<td>Two forms</td>
</tr>
</tbody>
</table>
Email Questions: info-coi@ucsd.edu
Email COI Forms: coiforms@ucsd.edu
Telephone: (858) 534-6465
COI Website: http://coi.ucsd.edu
Top Ten Points for Institutional Animal Care and Use Committee (IACUC) Office

Kristen Anderson-Vicino
Director
#1: WHAT IS THE IACUC?

- Mandated by federal law that any institution doing animal research must have this committee
- Members include UCSD faculty members from all schools and departments using animals as well as non-affiliated members
- Oversees the University's animal care and use program
- Reviews and approves all animal research protocols
- Inspects animal facilities and laboratories
- Oversees the training and educational programs
- Investigates animal welfare concerns
• Eligibility to serve as a PI on an animal use protocol is the same as the University’s requirements for eligibility to be a PI on a grant (PPM 150-10)

• An approval for an exception must be approved by the appropriate Vice Chancellor prior to eligibility to be a PI on an animal use protocol

• A fully executed copy of the PI exception form needs to be provided to the IACUC Office before the IACUC will approve the PI’s protocol
• All PIs and personnel performing research and teaching involving animals at UCSD are required to complete the on-line "Orientation to Animal Research at UCSD" class

• The IACUC will also require additional training for each individual, depending on their prior training and experience with animals

• Refresher training is required of all PIs and personnel once every three years and usually coincides with the year of our triennial AAALAC accreditation site visit
NIH, NSF and most federal extramural funding agencies require verification that the IACUC has approved all proposed animal studies before they will fund grant proposals. - Termed “congruency verification”

OLAW defines congruence as “agreement between the animal activities described in a grant and the animal activities reviewed and approved by the IACUC”

The Sponsored Project Offices (OCGA, HSSPPO and SIO) work directly with the IACUC Office to provide assurance to the funding agency of “congruence”
• Interinstitutional work using animals has very strict rules. Please refer to the UCSD IACUC Interinstitutional Policy at http://blink.ucsd.edu/_files/sponsor-tab/iacuc/Policy%202021%20Interinstitutional.pdf

• Animals owned by UCSD (bought with funding to a UCSD PI) may only be used/housed at AAALAC-accredited institutions, see https://www.aaalac.org/accreditedorgsDirectorySearch/index.cfm
• Subcontracts to other institutions that include animal work must have an MOU or contract with specific language

• Any animal work being conducted at other institutions (where the funding is coming through UCSD) must also be verified as congruent

• A copy of the animal use protocol and IACUC approval letter from other institution(s) must be provided to the IACUC Office
• Incoming subcontracted work does not require a congruency verification as the onus is on the prime institution to assure congruence

• Interinstitutional Assurances are used for U.S. Institutions that receive PHS funding but do not have their own animal care and use program, facilities or IACUC

• Assures that the project will be conducted in compliance with PHS Policy and the PHS Assurance of the Assured Institution
#8: PHS INTERINSTITUTIONAL ASSURANCES

- Completed PHS Interinstitutional Assurances should be routed to the IACUC Office with the relevant UC San Diego IACUC approved protocol number on which the animal work will be performed.

- The form should be sent to iacuc@ucsd.edu.

- The IACUC Office staff will confirm the approval date of the protocol and obtain the signature of the IACUC Chairperson as well as the Institutional Official and return the signed form as soon as possible.
#9: CONTACT US EARLY – WE WANT TO HELP

- Please make sure that your new faculty recruits contact the IACUC Office early in the hiring process if they will be using animal subjects in research.

- Faculty must have an approved UCSD animal protocol and assigned animal housing space BEFORE any animal can be transferred from their old institution.
#10: IACUC OFFICE CONTACT INFORMATION

- IACUC Office Website:  http://blink.ucsd.edu/sponsor/iacuc/
- IACUC Email: iacuc@ucsd.edu
- IACUC Office Phone: 858-534-6069
Export Control Update

Brittany Whiting
Director
This new Policy underscores the University of California's commitment to compliance with U.S. export control laws and regulations, which define the conditions under which certain information, technologies, and commodities can be exported overseas to anyone, including U.S. citizens, or shared with a foreign national within the U.S.

The Policy also facilitates compliance by providing the general structure for Export Control Compliance Programs throughout the University, while allowing each UC location flexibility in managing its own Export Control Compliance Program. The Policy applies to all members of the University community (Faculty and Other Academic Appointees, Staff, Students and Non-employee participants in University programs) and to all University activities.
Government Concern Over Universities & Export Controls

- Public cases of Export Violations involving Universities
- Increased scrutiny by Congress and White House
- Increased visits by federal agencies to Universities, FBI, BIS, ICE
- Economic espionage concerns
John Reece Roth never thought he’d be going to prison for his research on plasma physics. But that’s precisely where the 72-year old University of Tennessee professor will likely spend the next four years.

Roth was sentenced last month for sharing his research with foreign graduate students and taking a laptop with his research to China. Along with his university research, he was working on an unclassified contract from the U.S. Force looking at ways to reduce drag on drones using plasma actuators.

The case has been closely watched by university professors.
ZTE pays $1 billion fine to US over sanctions violations

China's ZTE, which the US government has accused of repeated sanctions violations, has paid a $1 billion fine, a US Commerce Department official said Friday.

The payment comes days after President Donald Trump tried to persuade two dozen lawmakers — mostly Republicans — that the fine and the assignment of an American monitoring team would be sufficient punishment for ZTE.
The 2019 National Defense Authorization Act, or NDAA, includes measures targeting the two “strategic competitors,” including a clampdown on trade rules and a ban on the Defense Department dealing with any entity that uses telecommunications equipment or services from Chinese companies Huawei Technologies and ZTE Corp.
More and more visa approvals are requiring detailed information on the specific area of research, source of funding and restricted technology access.

Contract and grants are a major source of funding for all student, staff and faculty researchers at UCSD.

Identifying publication and/or foreign national restrictions in calls and awards is critical.
How China’s Economic Aggression Threatens the Technologies and Intellectual Property of the United States and the World

White House Office of Trade and Manufacturing Policy
June 2018

They want Devos to investigate the Huawei Innovation Research Program and other programs through which Huawei partners with institutes of higher education across the country.

“We believe these partnerships may pose a significant threat to national security and this threat demands your attention and oversight,” ...

The lawmakers told DeVos that she should convene a task force to investigate these partnerships...

The lawmakers want universities partnering with Huawei to hand over the contracts and details, especially at universities that receive federal funding or participate in research dealing with classified information. If they don’t comply, Congress could hold related funding as leverage.

Feds: Man smuggled anti-submarine warfare devices to China

The Associated Press
June 21, 2018 02:05 PM
Updated June 21, 2018 07:04 PM

BOSTON — Authorities say a Chinese national has been charged with conspiring with a Chinese military research institute to illegally export U.S. goods used in anti-submarine warfare to China.

Federal prosecutors say 41-year-old Shuren Qin, who lives in Wellesley, Massachusetts, was arrested Thursday. He’s charged with visa fraud and conspiring to violate U.S. export regulations.

Court documents say Qin illegally exported nearly 80 devices used to detect and monitor sound underwater to a Chinese military research institute affiliated with the People’s Liberation Army.

The documents say Qin exported the goods to Northwestern Polytechnical University by lying to the U.S. supplier and concealing the true recipient. Such exports are prohibited without a license.
WHAT CAN WE DO TO PROTECT UC SAN DIEGO?

• Follow UC San Diego Procedures
  • Our existing procedures for proposals, agreements, purchasing and visiting scholars are in place to comply with regulations and alert us to address risks
  • Ensure the research questionnaire in EPD for export control questions are completed correctly and add Export Control Special Reviews when required
  • Use visual compliance for screening foreign collaborators, funding entities, purchases or shipments
    • Restricted parties lists are being updated every few days
    • New restricted party screening video in UC learning
• Contact Export Control with any questions or concerns
• Escalate any requests for information from federal authorities on national security or export controls to Brittany Whiting, Export Control Director
Export Control Office
Contact Information

Export Control Office Website: http://blink.ucsd.edu/sponsor/exportcontrol/
Email: export@ucsd.edu
Telephone: 858-246-3300

Brittany Whiting, Director
Garrett Eaton, Sr. Export Analyst
Ryan Jordan, Export Analyst
UNANNOUNCED VISITS BY FEDERAL AND STATE AGENCIES

- UC San Diego can expect site visits by outside agencies as part of routine oversight activities and for specific ongoing investigations.

- The University’s practice is to cooperate with outside investigating agencies, while protecting the rights and privacy of the students, faculty, staff, and research subjects.

- Promptly contact Research Compliance and Integrity who will provide assistance or alert appropriate institutional offices.

- For additional information and FAQs, please see http://blink.ucsd.edu/research/policies-compliance-ethics/compliance/visits/index.html
RESOURCES:

**Research Compliance and Integrity:**
Phone: (858) 822-4939  
Email: rci@ucsd.edu  
Website: rci.ucsd.edu  
Executive Director: Angela Fornataro McMahon

**IACUC:**
Phone: (858) 534-6069  
Email: iacuc@ucsd.edu  
Website: blink.ucsd.edu/sponsor/iacuc  
Director: Kristen Anderson-Vicino

**Conflict of Interest:**
Phone: (858) 534-6465  
Email: info-coi@ucsd.edu  
Website: blink.ucsd.edu/sponsor/coi  
Director: Jennifer J. Ford

**Export Control:**
Phone: (858) 246-3300  
Email: export@ucsd.edu  
Website: blink.ucsd.edu/sponsor/exportcontrol  
Director: Brittany Whiting
FELLOWSHIPS

July 2, 2018 – Fellowships proposals will be entered in EPD

Topics:

• Fellowship Definition
• IDC
• Central Offices
• EPD
FELLOWSHIP DEFINITION

A. **Merit Based** – in response to a competitive application/announcement

B. **Awarded to a Specific Individual** – award follows the individual should they leave the University

C. **Mentored** – they are not an independent investigator and will be guided/hosted by a Faculty member in their lab

D. **Intended to enhance an individual’s potential to develop into an independent researcher/scholar**
At UC San Diego, fellowships that are administered by the University through a SPO exclude:

- Awards to Faculty – these will be handled as grants/contracts
- Undergraduate fellowships – will be handled on a case-by-case basis
- Postdoc Pay Directs and Graduate Students paid directly
- Fellowship programs administered by the University – University picks the fellows
- Programs or fellowships funded by gifts
<table>
<thead>
<tr>
<th>Sponsor Category</th>
<th>Requirement</th>
</tr>
</thead>
<tbody>
<tr>
<td>Federal</td>
<td><strong>Must have a specific funding opportunity</strong> identifying the intent to award fellowships. Submitted through a central office.</td>
</tr>
<tr>
<td>Non-profit</td>
<td><strong>Must determine the intent of the funding.</strong> If the intent is not clear in the announcement or sponsor website, clarification with sponsor is needed: Determining factors include:&lt;br&gt;(1) Does it meet the high-level definition?&lt;br&gt;(2) How does the sponsor classify it?&lt;br&gt;(3) What are the reporting requirements/deliverables, final outcomes, basic financial reporting&lt;br&gt;(4) Are the terms and conditions appropriate for a fellowship?</td>
</tr>
<tr>
<td>Industry</td>
<td><strong>Must be very careful about the sponsor’s intent.</strong> The program must be for the development of the individual and clearly distinguishable from research contracts solicited by the company that state anticipated outcomes in specific areas of interest to the company.</td>
</tr>
<tr>
<td>Foreign Govt.</td>
<td>Foreign government fellowships may be received as a subaward from a foreign university or non-profit requiring additional scrutiny or negotiation. Additionally foreign government fellowships may pay the fellow directly but still require an agreement with the US institution. As with US federal fellowships, <strong>the original sponsor should have a specific funding opportunity</strong> identifying the intent to award fellowships.</td>
</tr>
</tbody>
</table>
INDIRECT COSTS (IDC)

• If IDC is allowed it needs to be requested/budgeted
  • Federal – will have a clear written policy in funding announcement
  • Non-profit – need a written policy; if total costs are capped or the IDC policy is unclear, work with your SPO analyst to confirm
  • Industry – reduced IDC (from the federally-negotiated rate) may be allowed under very specific conditions, work with your SPO analyst to confirm
  • Foreign government – should have a clear written policy in original funding opportunity, SPO analyst will work with foreign institution
• If you have any questions on IDC talk to your SPO analyst
New fellowship proposals will be routed using the same logic as grant and contract proposals:

- OCGA: General Campus and Heath Sciences (non-NIH)
- HS SPPO: Health Sciences NIH and AHRQ, including subaward fellowships
- SIO OCGA: SIO departments

The Graduate Division functions as the central office for all Graduate Student Fellowships

- Proposal review and submission
- Award acceptance and signature
- Professional degree (MD or PharmD) and duel degree (MD/PhD) students are NOT handled by the Grad Division
• Anticipated Award Type: Select ‘Fellowship’ from the dropdown menu.
Investigator Tab

• **Proposed Fellow** will be entered as the Principal Investigator (PI) on all Fellowship Proposals.

• **Faculty member (mentor/sponsor)** will be entered as a Co-Investigator (Co-I) if a Conflict of Interest disclosure is required.
  
  -OR-

• **Faculty member (mentor/sponsor)** will be entered as a Key Study Person if a Conflict of Interest is not required.

Special Review Tab

• 700U disclosures are not required for fellowships.

• PI Exceptions are not required for fellowships.
• Institutionally, department approvals are not required for Fellowships

• Each department can institute their own policies as to who should approve and work with their SPO office to implement.